



PTO/SB 30-08-001

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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/436,158
Filing Date	11/09/1999
First Named Inventor	Erasmio Perez
Group Art Unit	2814
Examiner Name	Nathan W. Ha
Attorney Docket Number	AMKOR-019RCE

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000). Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000). 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply 04/16/2002 GTEFFERA 00000093 09436158
- ii. ☐ Affidavit(s)/Declaration(s) 01 FC:179 740.00 OP
- iii. ☐ Information Disclosure Statement (IDS) 02 FC:103 216.00 OP
- iv. ☒ Other *Request for Extension of Time* 03 FC:102 168.00 OP

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 1124.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Print Type	Mark B. Garred	Registration No. (Attorney/Agent)	34,823
Signature			Date	4/15/02

CERTIFICATE OF MAILING - TRANSMISSION

Name	Print Type	Kristin Stenberg	Date	
Signature				

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$ 1124.00)

**Complete if Known**

Application Number	09/436,158
Filing Date	11/09/1999
First Named Inventor	Erasmus Perez
Examiner Name	Nathan W. Ha
Group Art Unit	2814
Attorney Docket No.	AMKOR-019RCE

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit  
Account  
Number

19-4330

Deposit  
Account  
Name

Stetina Brunda

- X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

X Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility Filing fee	
106 330	206 165	Design Filing fee	
107 510	207 255	Plant Filing fee	
108 740	208 370	Reissue Filing fee	
114 160	214 805	Provisional Filing fee	

SUBTOTAL (1) \$

**2. EXTRA CLAIM FEES**

Total Claims	Independent Claims	Multiple Dependent	Fee from Extra Claims below	Fee Paid
32	5		12 X 18 = 216.00	
			2 X 84 = 168.00	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3

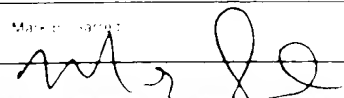
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifty month	
119 310	219 155	Notice of Appeal	
120 310	220 160	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive-unavoidable	
141 1,240	241 620	Petition to revive-unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined	
179 740	279 355	Request for Continued Examination (RCE)	\$740.00
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 740.00

**SUBMITTED BY**

Name (Print Type)	Markus J. Smith	Registration No.	443,855,124
Signature		Date	4/5/02

WARNING: Information on this form may become public information and authorization on PTO 2038

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